





Garron M. Hobson Registered Patent Attorney hobson@tnw.com

July 6, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Patent Application No. 10/684,008 filed 10/10/03

Applicant: Wheatley, Alan

Title: FRICTIONAL HOLDING PAD

Group Art Unit: 3677

Attorney Docket No. T9475.NP.DIV

Sir/Madam:

Transmitted herewith for filing and pursuant to 37 C.F.R. §§ 1.56 and 1.97 is a Supplemental Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

\boxtimes	Form PTO-1449 list of 1 references submitted for consideration.
	Legible copies of the listed references or their relevant portions.
\boxtimes	Legible copies of the listed <u>non-patent documents and foreign documents</u> or their relevant portions are included.
	Copies of U.S. patents and/or publications are not included pursuant to the Official Gazette Notice, dated Aug. 5, 2003, waiving the requirement of 37 C.F.R. 1.98(a)(2)(i)

CONFIDENTIAL: ATTORNEY/CLIENT COMMUNICATION

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Commissione Page 2	er for Patents
	All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).
	ollowing are included within the Information Supplemental Disclosure Statement if as required under 37 C.F.R. § 1.98:
	Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
	Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
	Statement that certain listed references not enclosed were previously cited by or submitted to the Office in prior application no. , filed on, which is relied upon for an earlier filing date under 35 U.S.C. § 120.
In ordain if required, is	er to secure consideration of the items designated above, one or more of the following, also enclosed:
	Statement under 37 C.F.R. § 1.97(e)(1) or (2).
	Check No in the amount of (amount in § 1.17(p)) constituting the submission fee set forth in 37 C.F.R. § 1.17(p).
Statement mee	event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the ets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, ny over payment or charge any additional fees to Deposit Account No. 20-0100 of the

Respectfully submitted,

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Garron M. Hobson Attorney for Applicant Registration No. 41,073

THORPE NORTH & WESTERN, LLP Customer No. 20,551 P.O. Box 1219 Sandy, Utah 84091-1219 Telephone: (801) 566-6633

GMH/skp



IN THE UNITED STATES PATENT & TRADEMARKS OFFICE

3677

EXAMINER:

APPLICANT:

Wheatley, Alan

SERIAL NO.:

10/684,008

FILED:

10/10/2003

CONFRM. NO.: 3219

FOR: FRICTIONAL HOLDING PAD

CERTIFICATE OF MAILING

DATE OF DEPOSIT: July & 2045

I hereby certify that this paper or fee (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail

with sufficient postage on the date indicated above and is addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

> 200 Garron M. Hobson

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir/Madam:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO/SB/08 B which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

\boxtimes	37 C.F.R. § 1.97 (b)(1) or (3), within three months of the filing date of the application, or
before a first o	office action on the merits, whichever occurs last;

		37	C.F.R.	§ 1.9	97 (c), after	a	first	office	action	on	the	merits,	but	before	a	Final	Office
Action	or a N	lotice	of All	owan	ce, w	hichev	er	occu	rs first	, and is	sac	com	panied	by e	ither 1)	a	staten	nent in
accord	ance w	ith 37	C.F.R	. § 1.	97(e)	, or 2)	the	e fee	set for	th in§1	.17((p); e	or					

		3	7 C	F.R.	§ 1	.97	(d),	after	·a	Final	Offic	e A	Action	or	Notice	of	Allov	vano	e,	whichev	/er
occurs	first,	but	on (or be	fore	pay	men	t of	the	issue	fee,	and	is ac	con	npanied	by	both	1) a	sta	atement	in
accorda	ance v	with.	37 C	C.F.R	. § 1	.976	e), ai	nd 2)) th	e fee s	et for	th i	n§1.1	7(p)).						

While no representation is made that any of these references may be "prior art" within the meaning of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently Supplemental Information Disclosure Statement Application No. <u>10/684,008</u> Page 2

aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

A legible copy of each listed U.S. Patent or publication (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is enclosed pursuant to 37 C.F.R. §§ 1.97 and 1.98.

A legible copy of each of the listed non-patent literature and foreign documents or their relevant portions is enclosed.

Copies of cited U.S. patents and/or publications are NOT enclosed pursuant to the Official Gazette Notice, dated Aug. 5, 2003, waiving the requirement of 37 C.F.R. § 1.98(a)(2)(i).

Copies of the references listed in the accompanying Form PTO/SB/08A are NOT enclosed because, under 37 C.F.R. § 1.98(d), they were previously cited by or submitted to the Office in application number , which is relied upon for an earlier filing date under 35 U.S.C. § 120.

For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Dated this 6 day of July, 2005.

Respectfully submitted,

Garron M. Hobson Attorney for Applicant Registration No. 41,073

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THORPE NORTH & WESTERN, LLP Customer No. 20,551 P.O. Box 1219 Sandy, Utah 84091-1219 Telephone: (801) 566-6633

GMH/skp Enclosure

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO						Complete if Known						
						Application Number	10/684,008					
					DISCLOSURE	Filing Date	10/10/03					
2	STATEMENT BY APPLICANT					First Named Inventor	Wheatley, Alan					
(Use as many sheets as necessary)					s as necessary)	Art Unit	3677					
						Examiner Name						
Sheet	j		of			Attorney Docket Number	T9475.NP.DIV					

NON PATENT LITERATURE DOCUMENTS									
Examiner Initials*	Cite No.1	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²						
	1	General Electric "Friction Pad Kit" Instructions Field Made for MPD, MPD Friction Pad, August 19,							
		1988							
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Examiner	Date	
Signature	Considered	

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.